	Case 3:08-cv-00936-HU Do	cument 47	Filed 07/24/2009	Page 1 of 20				
1								
2								
2								
4								
5								
6								
7	IN THE UNITED STATES DISTRICT COURT							
8	FOR THE DISTRICT OF OREGON							
9								
10	COLUMBIA RIVERKEEPER and)						
11	WILLAMETTE RIVERKEEPER, Oregon nonprofit))						
12	corporations,)	o. 08-936-HU					
13	Plaintiffs,)						
14	V.) (OPINION AND					
15	FEDERAL ENERGY REGULATORY COMMISSION, an agency of t	.he)	ORDER					
16	United States, Defendant.)						
17	Derendant.)						
18	Brett Vandenheuvel							
19								
20	Portland, Oregon 97031							
21	Brenna Bell 1515 SE Water Avenue # 102 Portland, Oregon 97202							
22	Attorneys for plainti	ffs						
23	Karin Immergut United States Attorney							
24								
25								
26	Portland, Oregon 97202 Attorneys for defenda							
27	1							
28	OPINION AND ORDER Page 1							

1 HUBEL, Magistrate Judge:

2 Environmental groups Columbia Riverkeeper ("CRK") and Willamette Riverkeeper ("WRK") bring this action against the 3 Energy Regulatory Commission ("FERC"), requesting 4 Federal declaratory relief under the Administrative Procedures Act ("APA"), 5 6 5 U.S.C. §§ 701 et seq., and the Freedom of Information Act 7 ("FOIA"), 5 U.S.C. §§ 552 et seq. CRK and WRK seek to obtain mailing lists showing the names and addresses of landowners 8 9 notified of four public scoping hearings about a proposed 220-mile 10 natural gas pipeline. FERC has provided plaintiffs with mailing 11 lists from which the names and addresses of private individuals 12 have been redacted. Plaintiffs and FERC have filed cross motions 13 for summary judgment.

Factual Background

15 On October 29, 2007, FERC released a Notice of Intent to 16 Prepare an Environmental Impact Statement for the Palomar Gas 17 Transmission Project and Notice of Public Meetings ("Notice" or 18 "October 29, 2007 Notice"). VandenHeuvel Declaration, Exhibit 6.

19 The Notice described the project, informed landowners adjacent 20 to the pipeline that public hearings would be held, provided 21 information about the administrative process, and solicited 22 comments. The Notice also stated that Palomar Gas Transmission LLC 23 ("Palomar") would contact landowners to negotiate property rights, 24 but warned that if the "negotiations fail to produce an agreement, the pipeline company could initiate condemnation proceedings. ... " 25 26 Id. The Notice stated that it was being sent to "federal, state,

27

14

1 and local government agencies; elected officials; affected 2 landowners; environmental and public interest groups; Indian tribes 3 and regional Native American organizations; commentators and other 4 interested parties; and local libraries and newspapers." Id.

5 FERC held four public hearings (also referred to as scoping hearings) between October and December 2007. FERC admits in its 6 2007, FERC 7 pleadings that at а meeting on November 13, representative Doug Sipe referred to a list of notice recipients as 8 9 the "landowner list." Other comments by Sipe at the hearing 10 indicated that FERC had a mailing list that was extensive and had 11 been revised numerous times:

Issuance of the notice of intent opened the formal comment period. It is during this period that we have accepted written comments on the project. The mailing list is very large and it's a constant revision, so if anybody in the room tonight did not receive a notice of intent I apologize. The landowner list is a constant battle for all of us involved and it keeps changing. I mean, to this day I sent out final environmental impact statements. You'd think the addresses would be right by then. We still get a bunch of returns.

Plaintiffs' Response to Defendants' Motion for Protective Order, Exhibit 10 (transcript of public scoping meeting held November 13, 2007), p. 7.

Plaintiffs assert that FERC has not sent the Notice to many of the landowners who are on the pipeline route, thereby failing to advise these landowners about the scope of the project, the landowners' legal rights, Palomar's right to exercise eminent domain over their property, upcoming meetings, and deadlines for comment and appeals.

26

27

| | |

12

13

14

15

16

17

18

19

20

21

22

23

24

25

1 Paul Sansone has submitted a declaration stating that he 2 attended a meeting arranged by Sen. Wyden's office, on January 28, 2008, with FERC Commissioner John Wellinghoff and FERC staff. 3 VandenHeuvel Declaration, Exhibit 15 (Second Declaration of Paul 4 Sansone). Sansone states that also in attendance were Sen. Wyden's 5 staff, Gov. Kulongoski's Natural Resources Policy Director, a 6 Clackamas County Commissioner, and landowners. Id. Sansone states 7 that the landowners provided "multiple detailed examples" of lack 8 9 of notice, and that Commissioner Wellinghoff apologized for that. 10 Id.

11 Paul Dryden, a landowner on the Palomar pipeline route, states 12 in a declaration that he had been approached by companies seeking right of entry to his farm, and had told them it would be refused. 13 Id. at Exhibit 14 (Declaration of Paul Dryden). FERC did not notify 14 15 him of an August 5, 2008 scoping meeting in Molalla. He states that 16 it was only through CRK that he learned of the meeting, where it 17 was revealed that the pipeline would pass through his property. Id. 18 Dryden wrote a letter to FERC on August 13, 2008, protesting the 19 lack of notification to him. Id. (copy of letter attached to 20 declaration).

On February 12, 2008, plaintiffs submitted a FOIA request for all mailing lists FERC used to invite the public to the Palomar scoping meetings between October and December 2007, and all mailing lists compiled at scoping meetings in November and December 2007. (FOIA Request #1). VandenHeuvel Declaration Exhibit 1.

26 27 ///

On March 12, 2008, plaintiffs received a response from FERC 1 2 stating that a search of its files found "no responsive documents to your request." Id. at Exhibit 3. On April 22, 2008, plaintiffs 3 appealed FERC's denial of the FOIA request. By letter dated June 4 11, 2008, FERC rejected the appeal, stating that a "thorough 5 review" of procedures and processes had been made; that the 6 Director commenced a "thorough, good faith search for responsive 7 documents by asking appropriate staff members to check the non-8 9 public file for anything that could possibly be construed as 10 responsive to" plaintiffs' requests; and that no responsive 11 documents had been found. Id. at Exhibit 4.

12 Veronica Moten, FERC's administrative officer responsible for 13 responding to FOIA requests for the past five years, testified at her deposition that she responds to about 100 FOIA requests per 14 15 year. Testimony of Veronica Moten, Plaintiffs' Memorandum in Support of Motion for Summary Judgment, Exhibit 9 (Moten dep.) 16 17 9:11-17, 23-25. Ms. Moten testified that she spent "probably a 18 couple of hours" responding to plaintiffs' FOIA request, id. at 19 11:12-13, and that the only place she searched was FERC's 20 electronic library database system, which is already available to 21 the public. Id. 13:2-10. She acknowledged that she did not search 22 any e-mails or paper files, and did not ask Sipe or any other FERC 23 employee about the request at the time of the search. Id. at 13:17-25. At the time of the search, she did not ask the project manager 24 25 whether there was a mailing list. Id. at 17:5-25.

26 ///

27

With the June 11, 2008 letter reiterating that a review of 1 2 procedures and a thorough search of the non-public file had turned up nothing that could possibly be responsive to plaintiffs' 3 request, FERC attached a landowner list that had been given to FERC 4 by Palomar on April 18, 2008, but with the names and addresses of 5 individuals blacked out (the Palomar landowner list). Plaintiffs' 6 Exhibit 4. FERC did not indicate whether the Palomar landowner list 7 was the same list FERC had used to mail the Notice. Id. Doug Sipe 8 9 has given conflicting testimony on whether the Palomar landowner 10 list is responsive to plaintiffs' FOIA request #1, which requested 11 mailing lists from October to December of 2007, not April 2008. See deposition of Doug Sipe, VandenHeuvel Declaration Exhibit 8, at 12 13 36:9-11 ("Q: Is it correct that the October 2007 list is different from the April 2008 list? A: Yes. That's why they call it an 14 15 updated mailing list.") and Declaration of Doug Sipe ¶ 5 ("It is my belief that the April 18, 2008 list contains all the names included 16 17 in the list staff used to mail the October 29, 2007 [Notice].")

18 On June 17, 2008, plaintiffs sent a FOIA request to FERC for 19 an unredacted version of the Palomar landowner list (FOIA request 20 #2). VandenHeuvel Declaration Exhibit 20. In response, FERC 21 provided the same redacted Palomar landowners list. Watson 22 Declaration ¶ 6; VandenHeuvel Declaration Exhibit 22.

On July 15, 2008, plaintiffs appealed FERC's decision to release the landowners list in redacted form. FERC responded to the appeal by letter dated August 25, 2008, affirming its decision to withhold the names and addresses of individual landowners and

28 OPINION AND ORDER Page 6

1 citing Exemption Six to FOIA, 5 U.S.C. § 552(b)(6). Watson 2 Declaration ¶ 7. However, on September 22, 2008, Douglas Sipe sent 3 an email to Michael Watson, FERC's counsel, enclosing the initial 4 mailing list for the Notice (presumably the one sent out on October 5 29, 2007). Id. at Exhibit 10.

6 On February 10, 2009, pursuant to discovery requests, FERC's 7 counsel, Kevin Danielson, sent an email to plaintiffs' counsel with 8 redacted versions of FERC's mailing lists of October 3, 2007 and 9 October 17, 2007. <u>Id.</u> at Exhibit 5; Danielson Declaration, Exhibit 10 1. On February 12, 2009, FERC submitted to plaintiffs a redacted 11 version of the October 28, 2007 mailing list. <u>Id</u>.

Standards

13 FOIA's purpose is to "pierce the veil of administrative 14 secrecy and ... open agency action to the light of public scrutiny." Dept. of Air Force v. Rose, 425 U.S. 352, 361 15 (1976) (internal quotation marks and citation omitted). Thus, FOIA 16 17 "mandates a policy of broad disclosure of government documents." Maricopa Audubon Society v. U.S. Forest Service, 108 F.3d 1082, 18 19 1085 (9th Cir. 1997). When a FOIA request is made, an agency may 20 withhold a document, or portions of a document, only if the 21 material falls within one of the nine statutory exemptions found in 22 § 552(b). See <u>Kamman v. IRS</u>, 56 F.3d 46, 48 (9th Cir. 1995). These 23 exemptions are "explicitly exclusive," Dept of Justice v. Tax 24 Analysts, 492 U.S. 136, 151 (1989) and must be narrowly construed "in light of FOIA's dominant objective of disclosure, not secrecy." 25 26 Rose, 425 U.S. at 361. FOIA imposes on agencies the burden of

27

12

1 sustaining their actions and proving that withheld materials are 2 exempt from disclosure. 5 U.S.C. § 552(a)(4)(B); John Doe Agency v. 3 John Doe Corp., 493 U.S. 146, 152 (1989); Lion Raisins v. U.S. 4 Dept. of Agriculture, 354 F.3d 1072, 1079 (9th Cir. 2004).

5 Exemption Six of FOIA prohibits disclosure of "personnel and medical files and similar files the disclosure of which would 6 constitute a clearly unwarranted invasion of personal privacy." 5 7 U.S.C. § 552(b)(6). Under Exemption Six, the term "similar files" 8 9 has been construed broadly, U.S. Dep't. of State v. Wash. Post Co., 10 456 U.S. 595, 600 (1982), to apply to government documents 11 containing information about particular individuals. Forest Service 12 Employees for Environmental Ethics v. U.S. Forest Service, 524 F.3d 1021, 1024 (9th Cir. 2008). If documents meet the "similar files" 13 requirement, the court must next consider whether the disclosure of 14 15 the documents would constitute a "clearly unwarranted" invasion of 16 their personal privacy. 5 U.S.C. § 552(b)(6). In conducting the 17 inquiry, the court balances the public interest in disclosure 18 against the interest Congress intended the exemption to protect. 19 Id.; U.S. Dept. of Justice v. Reporters Committee for Freedom of 20 the Press, 489 U.S. 749, 776 (1989); United States Dept. of Defense 21 v. Federal Labor Relations Authority, 510 U.S. 487, 489 (1994).

22 The only relevant public interest is the extent to which 23 disclosure would contribute to the public's understanding of the 24 activities and operations of the government. Federal Labor 25 Relations Authority, 510 U.S. at 495. Public interest is 26 substantial when the information in the documents "sheds light on

27

1 an agency's performance of its statutory duties." Id. at 495-96. 2 FOIA's purpose is not "fostered by disclosure of information about 3 private citizens ... that reveals little or nothing about an 4 agency's own conduct." Id. at 496.

5 FOIA cases are typically decided on summary judgment. <u>Lane v.</u>
6 <u>Dept. of Interior</u>, 523 F.3d 1128, 1134 (9th Cir. 1997).

Discussion

8 Plaintiffs move for summary judgment in their favor on both 9 FOIA requests. For FOIA request #1, they seek a declaration that 10 FERC violated FOIA and the APA by failing to conduct an adequate search and withholding responsive documents, and that the court 11 12 order the production of documents responsive to FOIA request #1. (First Claim for Relief). For FOIA request #2, plaintiffs ask the 13 14 court to declare that FERC violated FOIA by improperly using 15 Exemption Six to redact the April 18, 2008 mailing list, and order FERC to produce unredacted documents responsive to FOIA request #2 16 (Third Claim for Relief).¹ FERC moves for summary judgment in its 17 18 favor on Exemption Six.

19 ///

20

²¹ ¹ Plaintiffs asserted a Second Claim for Relief in their 22 complaint, which was based on FOIA request #1 and alleged that if FERC did not have the documents requested, its action of losing 23 or destroying the requested documents between the time the list or lists were used and the time plaintiffs requested them was 24 "arbitrary and capricious and in violation of the law." Complaint \P 23. The allegation does not specify whether the claim is 25 asserted under FOIA or the APA or both. There is no evidence before the court that the documents requested pursuant to FOIA 26 request #1 were lost or destroyed. That claim is therefore 27 dismissed.

²⁸ OPINION AND ORDER Page 9

FERC asserts as a threshold matter that the APA does not apply 1 2 to this case because FOIA provides an adequate remedy. FERC is correct. The APA does not provide an independent basis for subject 3 matter jurisdiction in the district courts. Tucson Airport 4 Authority v. General Dynamics Corp., 136 F.3d 641, 645 (9th Cir. 5 1988, citing Califano v. Sanders, 430 U.S. 99, 105 (1977). The 6 7 APA's waiver of sovereign immunity does not apply unless an adequate remedy is unavailable elsewhere. Id. See also San Diego 8 9 Navy Broadway Complex Coalition v. United States Dep't. of the 10 Navy, 2008 WL 110900 (S.D. Cal. 2008) (same). The relief plaintiffs 11 seek is available under FOIA, which requires federal entities to make requested documents "promptly available to any person," 5 12 13 U.S.C. § 552(a)(3)(A), unless it falls within one of the 14 exemptions.

15 To the extent that plaintiffs' claims are asserted under the 16 APA, they are dismissed for lack of subject matter jurisdiction.

17 FERC concedes that it failed to conduct an adequate search for 18 the documents requested in FOIA request #1. However, FERC has not 19 addressed the other component of plaintiffs' motion for summary 20 judgment, which is FERC's failure to produce documents responsive 21 to FOIA request #1. FERC is ordered to make an adequate search and 22 to produce all documents responsive to plaintiffs' FOIA request #1.

Plaintiffs have urged the court to find that FERC's failure to produce documents responsive to FOIA request #1 was arbitrary and capricious. 5 U.S.C. § 552 (a) (4) (F). Although FERC's response to plaintiffs' FOIA request #1 was, at best, a very poor job, I am

28 OPINION AND ORDER Page 10

1 unpersuaded that the record supports a finding that FERC acted 2 arbitrarily and capriciously.

3 The remaining issue is whether, under the balancing test for 4 personal privacy and public interest, the redacted mailing lists 5 are proper pursuant to Exemption Six of FOIA.

A. Privacy interest

Plaintiffs assert that FERC's disclosure of the landowners' names and addresses will have minimal impact on their personal privacy because 1) FERC routinely releases landowner lists for similar pipelines; 2) the mailing list does not reveal any private information; 3) FERC provides the landowner lists to private corporations; and 4) there is little risk of harm or embarrassment to the landowners.

14

6

1. <u>Does FERC routinely release similar lists</u>?

15 Plaintiffs contend that FERC "routinely" publishes on its website the names and addresses for all landowners along pipeline 16 17 routes other than the Palomar route. They offer as examples three 18 other prominent pipelines proposed for Oregon: Ruby, NorthernStar 19 and Oregon LNG. For each, the FERC website contains unredacted 20 lists of names and addresses of landowners on the pipeline route. 21 See VandenHeuvel Declaration Exhibit 16 (excerpt of names and 22 addresses of landowners affected by the Ruby Pipeline), Exhibit 17 23 (excerpt of names and addresses of landowners affected by the 24 NorthernStar Pipeline), Exhibit 18 (excerpt of names and addresses of landowners affected by the Oregon LNG pipeline). Plaintiffs 25 26 dismiss FERC's contention that individuals on the Palomar landowner

27

list have a strong privacy interest, on the ground that it is 1 2 contradicted by FERC's regular practice of publishing similar lists on its website, and FERC's failure to articulate any reason why 3 4 landowners for the Palomar pipeline have a stronger privacy 5 interest than landowners on the unredacted pipeline lists published on FERC's website. Plaintiffs point out that FERC has also failed 6 7 provide along to any evidence that landowners the Ruby, NorthernStar and Oregon LNG pipelines, whose names and addresses 8 9 have been made public, have suffered any harm.

10 FERC counters that it has a "policy and practice" of not 11 intentionally disclosing the names and addresses of private citizens involved with pipeline projects, based on FOIA Exemption 12 Six. In support of this statement, FERC submits the Declaration of 13 14 Jacqueline Holmes. But Holmes's declaration does not suggest that 15 FERC had such a "policy and practice" when plaintiffs made their 16 FOIA request, or even that it currently does. Moreover, her 17 declaration says that FERC has relied on "private entities" to 18 determine whether landowner lists containing private information 19 about individuals should be public or not. She states as follows:

20 Many landowner lists are submitted as "privileged" or "non-public" by applicants (private entities) in order to 21 protect the privacy interests of individual landowners

22 The lists are frequently requested through the [FOIA], and the Commission has consistently withheld the names and addresses of private citizens pursuant to FOIA Exemption 6. 5 U.S.C. § 552(b)(6)(2000 & Supp. IV 2004).

It has now been brought to the Commission's attention that a number of lists have been filed by some applicants as "public." See Plaintiff's Memorandum in Support of Motion for Summary Judgment and Response to Defendant's Motion for Summary Judgment, p. 20. When a document is

28 OPINION AND ORDER Page 12

23

24

25

26

filed by an applicant with the Commission as "public," it is automatically placed in the Commission's public files. <u>The Commission must rely on the entity submitting the</u> <u>information to properly label information not appropriate</u> <u>for public review.</u>

Holmes Declaration $\P\P$ 2, 3 (emphasis added). Holmes goes on to say in her declaration that FERC is now taking steps to redesignate as private the landowner lists previously filed by applicants as public, and inform applicants "that any documents that include privacy information such as the names and personal addresses of individual landowners must be filed with the Commission as 'nonpublic' or 'privileged.'" <u>Id.</u> at \P 4.

FERC argues that its "inadvertent" release of the names and addresses of individuals affected by other FERC projects does not eliminate or reduce the privacy interest of individuals in this case. But the Holmes Declaration does not suggest that the release of the names and addresses of individuals involved in other FERC projects was "inadvertent," and more importantly, does not demonstrate that FERC had a policy of withholding names and addresses at the time of plaintiffs' original FOIA request, or even at present.

FERC has failed to provide any evidence of a reason for treating the Palomar landowner list differently from the Ruby, NorthernStar and Oregon LNG landowner lists.

2. <u>Does the mailing list implicate personal privacy</u>?

The mere disclosure of a list of names and other identifying information is not "inherently and always a significant threat to the privacy of the individuals on the list." <u>United States Dept. of</u>

State v. Ray, 502 U.S. 164, 177 n. 12 (1991). Whether disclosure of a list of names is a significant or a minimal threat to privacy depends upon the "characteristics revealed by virtue of being on the particular list, and the consequences likely to ensue." <u>Id.</u> (internal quotations and citation omitted).

6 Plaintiffs assert that the Palomar landowner list does not reveal private information because it does not reveal anything 7 personal about the individuals on the list. Plaintiffs contrast 8 9 this case with Multnomah County Medical Soc. v. Scott, 825 F.2d 10 1410 (9th Cir. 1987), where the court held that a list of all 11 Medicare recipients in Multnomah County implicated a significant 12 privacy interest because the "beneficiaries' identities would 13 reveal either that they are senior citizens or disabled." 825 F.2d 14 at 1415.

FERC asserts that names and addresses are private information, citing <u>Bibles v. Oregon Natural Desert Ass'n</u>, 510 U.S. 355, 356 (1997) (environmental group not entitled to mailing list for newsletter sent by BLM) and <u>Federal Labor Relations Authority</u>, 510 U.S. at 489, 502 (labor union not entitled to documents disclosing home addresses of federal civil service employees not members of the union).

Plaintiffs distinguish <u>Federal Labor Relations Authority</u> on the ground that the individuals on the Palomar landowner list did not make a personal choice to have the pipeline constructed on their property, so that the landowner lists do not reveal any information about the landowners' actions or decisions. Plaintiffs

28 OPINION AND ORDER Page 14

1 contrast the Court's comment in <u>Federal Relations Authority</u>:

Here, for the most part, the unions seek to obtain the addresses of nonunion employees who have decided not to reveal their addresses to their exclusive representative. Perhaps some of these individuals have failed to join the union that represents them due to lack of familiarity with the union or its services. Others may be opposed to their union or to unionism in general on practical or ideological grounds. Whatever the reason that these employees have chosen not to become members of the union or to provide the union with their addresses, however, it is clear that they have *some* nontrivial privacy interest in nondisclosure, and in avoiding the influx of unionrelated mail, and, perhaps, union-related telephone calls or visits, that would follow disclosure.

10 510 U.S. at 500-501.

2

3

4

5

6

7

8

9

17

18

19

20

21

25

26

27

I note further that the holding of <u>Federal Labor Relations</u> <u>Authority</u> rested in large part, not on the substantiality of the privacy interest at stake, but rather on the Court's finding that the public interest served by disclosure was negligible because disclosure would "reveal little or nothing about the employing agencies or their activities." 510 U.S. at 498.

Against the virtually nonexistent FOIA-related public interest in disclosure, we weigh the interest of bargaining unit employees in nondisclosure of their home addresses. [Citation omitted] Because a very slight privacy interest would suffice to outweigh the relevant public interest, ... [i]t is enough for present purposes to observe that the employees' interest in nondisclosure is not insubstantial.

510 U.S. at 501. The <u>Federal Labor Relations Authority</u> case was not similar to the situation in this case, either in the privacy interest or in the public interest in disclosure.

Similarly, the Supreme Court's <u>Bibles</u> decision addressed only the public interest inquiry, not the privacy interest involved. The Ninth Circuit's decision, <u>Oregon Natural Desert Ass'n v. Bibles</u>, 83

F.3d 1168 (9th Cir. 1996), rev'd on other grounds, 519 U.S. 355 (1997), is more like this case with respect to the privacy interest. The Ninth Circuit affirmed Judge Marsh's order to the BLM that it disclose a mailing list used to send out a BLM newsletter, and the Supreme Court did not reverse the Ninth Circuit on the privacy interest issue.

7 The Ninth Circuit concluded that disclosure of the list would not constitute a "clearly unwarranted invasion of personal 8 9 privacy," under 5 U.S.C. § 552(b)(6), because the majority of the 10 individuals had asked to be placed on the BLM mailing list, in order to receive mailings about BLM activities. In light of the 11 mailings already received by the individuals and the similar 12 13 subject matter of the mailings from ONDA and the BLM, the court 14 found the privacy interest to be "minimal." 83 F.3d at 1171. The 15 Ninth Circuit's analysis, based on the volitional nature of the 16 individuals' presence on the BLM mailing list and their interest in 17 receiving mailings on high desert issues is consistent with Federal 18 Labor Relations Authority's holding that the privacy interest turns 19 on whether the names and addresses themselves reveal private 20 decisions of those individuals, and reflect a desire to be 21 contacted or not to be contacted. Here, the list is of landowners 22 in the path of a possible pipeline. The landowners took no action 23 to get either on or off this list. Their presence on the list was 24 involuntary. I conclude that neither Federal Labor Relations 25 <u>Authority</u> nor the two <u>Bibles</u> decisions supports FERC's position.

26 27 3. <u>Has FERC provided the mailing lists to private</u> <u>corporations</u>?

Plaintiffs assert that FERC has already shared the Palomar 1 2 landowner list with a private corporation, Palomar, and that Palomar has used the list to send numerous communications. 3 VandenHeuvel Declaration Exhibit 8 (Deposition of Doug Sipe) 21:4-4 5 25. They argue that when both the government and a private 6 corporation are already contacting some or all of the names on the 7 list, regardless of whether the landowner wishes or does not wish to be contacted, the privacy interest of the individuals is greatly 8 9 reduced. FERC has not addressed this argument.

10 11

12

13

14

15

16

17

18

19

20

21

22

23

4. <u>Does disclosure risk harm or embarrassment to</u> <u>landowners</u>?

Plaintiffs arque that there low personal are "very consequences" for Palomar landowners whose names and addresses are disclosed to plaintiffs, because plaintiffs seek the information only for the purpose of ensuring that the landowners are receiving legally mandated notices from FERC.² They point out that FERC has not identified any harm to the landowners resulting from disclosure, such as the unwanted union contacts in Federal Labor Relations Authority or possible embarrassment and retaliation, as in Ray. See also Forest Service Employees, 524 F.3d at 1026 (avoidance of harassment is cognizable privacy interest under Exemption Six), citing Painting Indus. of Haw. Mkt. Recovery Fund v. Dep't. of Air Force, 26 F.3d 1479, 1483 (9th Cir. 1994) and

²⁴ ² Although the reasons the documents are sought under the ²⁵ FOIA are irrelevant to the balancing test. <u>Forest Service</u> <u>Employees</u>, 524 F.3d at 1025, *citing Federal Labor Relations* ²⁶ <u>Authority</u>, 510 U.S. at 496 ("[W]hether an invasion of privacy is *warranted* cannot turn on the purposes for which the request for ²⁷ information is made.") (emphasis in original)

1 <u>Minnis v. U.S. Dep't. of Agric.</u>, 737 F.2d 784, 787 (9th Cir. 1984).

FERC has not responded to this argument.

B. Public interest

2

3

As noted, the only relevant public interest under Exemption Six is the extent to which the information sought would shed light on an agency's performance of its statutory duties or otherwise let citizens know what their government is up to. <u>Federal Labor</u> <u>Relations Authority</u>, 510 U.S. at 497, *quoting U.S. Dep't.* of Justice v. Reporters Comm. for Freedom of Press, 489 U.S. 749, 773 (1989) (internal quotation marks omitted).

11 Plaintiffs assert that the public interest is substantial 12 because plaintiffs seek to assess whether FERC was complying with 13 its obligation to provide notice to all those entitled to notice 14 under NEPA and FERC regulations. They argue that a crucial 15 component of NEPA is ensuring that environmental information is made available to government decisionmakers and the interested 16 17 public before governmental action is taken, and to that end, 18 federal agencies are specifically directed to encourage and 19 facilitate public involvement in decisions which affect the quality 20 of the human environment. 40 C.F.R. §§ 1500.1(b), 1500.2(d). 21 Plaintiffs point out FERC's regulations require compliance with 22 NEPA's requirement of public involvement. 18 C.F.R. § 380.9.

Plaintiffs contend that despite this mandate, FERC's notification to landowners that their property was subject to condemnation under eminent domain did not reach many of those landowners. Plaintiffs cite the Sansone and Dryden declarations,

28 OPINION AND ORDER Page 18

1 discussed above.

2 Plaintiffs contend that disclosure of the mailing lists will shed light on FERC's performance of its duties, because disclosure 3 enables the public to review whether FERC complied with its public 4 5 notice mandate, to oversee FERC's procedures, and to ensure that all affected landowners are on the mailing list. They argue, 6 7 "whether FERC provided adequate notice to all of the affected landowners is an ongoing question," that can only be answered by 8 9 public disclosure of the mailing list.

10 FERC conceded at oral argument that disclosure of the mailing 11 list would shed light on FERC's activities, but argues that that 12 disclosure would not "contribute to the understanding of how FERC 13 compiles its mailing list," and argues that just because FERC might have missed some of the affected landowners on the list "does not 14 15 mean it was not trying to do its job." Defendant's Reply, p. 7. FERC asserts that revealing the names and addresses would not 16 17 contribute to the public's understanding of how well FERC was doing 18 its job "unless someone double-checked the owner of each piece of 19 property next to the path of the proposed pipeline and compared it 20 to FERC's list." Id. At oral argument, plaintiffs acknowledged that this was their intention. 21

Conclusion

FERC has not carried its burden of proving the withheld materials are exempt from disclosure. The evidence does not support the existence of a "clearly unwarranted invasion of privacy" as that statutory term is interpreted in Supreme Court and Ninth

28 OPINION AND ORDER Page 19

22

1 Circuit jurisprudence. Nor has FERC carried its burden of proving 2 that the information sought by plaintiffs would not shed light on 3 FERC's performance of its statutory duties governing notice.

Plaintiffs' motion for summary judgment (doc. # 37) is GRANTED 4 5 in part and DENIED in part. FERC is ordered to search for and to 6 produce the documents requested in plaintiffs' FOIA requests #1 and 7 #2, with names and addresses. To the extent that plaintiffs' claims are based on the APA, they are dismissed for lack of subject matter 8 9 jurisdiction. Plaintiffs' request that the court find FERC's 10 actions arbitrary and capricious is denied. Plaintiffs' second 11 claim for relief is dismissed.

12 FERC's motion for summary judgment on Exemption Six (doc. # 13 27) is DENIED.

IT IS SO ORDERED.

14

15

16

17

18

19

20

21

22

23

24

25

26

27

Dated this 24th day of <u>July</u>, 2009.

/s/ Dennis J. Hubel

Dennis James Hubel United States Magistrate Judge

28	OPINION	AND	ORDER	Page	20
----	---------	-----	-------	------	----